

11-23-01

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11/16/01  
jc962 U.S. PTO

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No.: VEN008/01/US

jc996 U.S. PTO  
09/991003  
11/16/01

**CONVERSION OF PROVISIONAL PATENT APPLICATION TO  
NON-PROVISIONAL CONTINUATION-IN-PART APPLICATION  
(37 C.F.R. §§ 1.53(c)(3) and 1.53(b))**

**Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Carl Alexander Kamb  
Mark Aaron Poritz  
David Heng-Fai Teng


Title: HUMAN RHINOVIRUS ASSAYS, AND COMPOSITIONS THEREFROM

**1. Type of Application**

- ☒ This is a conversion of a pending provisional patent application to a regular utility patent application.
- ☐ This is a new application for a
- ☐ utility patent.
  - ☐ design patent.
- ☒ This is a continuation-in-part application of prior application nos. 60/253,333 and 60/272,026.

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on November 16, 2001, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL485594087US.

  
Laura Handley

**2. Application Papers Enclosed**

1 Title Page

88 Pages of Specification (excluding Claims, Abstract & Drawings)

6 Page(s) of Claims

1 Page(s) of Abstract

21 Sheet(s) of Drawings (Figs. 1 to 21)

☒ Formal

☐ Informal

**3. Declaration or Oath**

☒ Enclosed (unexecuted)

☐ Executed by (check all applicable boxes)

☐ Inventor(s)

☐ Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)

☐ Joint inventor or person showing a proprietary interest on behalf  
of inventor who refused to sign or cannot be reached

☐ The petition required by 37 CFR 1.47 and the statement  
required by 37 CFR 1.47 are enclosed. See Item 5D below  
for fee.

☐ Not enclosed - the undersigned attorney or agent is authorized to file this  
application on behalf of the applicant(s). An executed declaration will  
follow.

**4. Additional Papers Enclosed**

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Declaration of Biological Deposit

☐ Computer readable copy of sequence listing containing nucleotide and/or  
amino acid sequence

☐ Microfiche computer program

☒ Verified statement(s) claiming small entity status under 37 CFR 1.9 and 1.27

☐ Associate Power of Attorney

☐ Verified translation of a non-English patent application

☐ An assignment of the invention

☒ Return receipt postcard

☒ Other: check no. 10638

5. **Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

☐ are attached.

☐ will follow.

COUNTRY	APPLICATION NO.	FILED

6. **Filing Fee Calculation (37 CFR 1.16)**

A. ☒ Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL	57 - 20	37 =	X 9 =	\$333.00	X 18 =	\$
INDEP.	27 - 3	24 =	X 42 =	\$1008.00	X 84 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =		+ 280 =	\$
Filing Fee:				\$1711.00	OR	\$

B. ☐ Design Application (\$155.00/\$310.00) Filing Fee: \$ \_\_\_\_\_

C. ☐ Plant Application (\$240.00/\$480.00) Filing Fee: \$ \_\_\_\_\_

D. **Other Fees**

☐ Recording Assignment [Fee -- \$40.00 per assignment] \$ \_\_\_\_\_

☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee -- \$130.00] \$ \_\_\_\_\_

☐ Other \$ \_\_\_\_\_

**Total Fees Enclosed \$ 1711.00**

**THE UNIVERSITY OF CHICAGO**

- Please refund any overpayment to Deposit Account No. 501015.**

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November 16, 2001